

United States Patent and Trademark Office

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APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO.
09 886,254	06/22/2001	Mikhail Markovich Gusyatiner	209870US0	5538

00.31.2003 22850

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314

EXAMINER

PAPER NUMBER

LILLING, HERBERT J

ART UNH 1651

DATE MAILED: 01-31-2003

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)		
Advisory Action	09/886,254	GUSYATINER ET AL.		
Advisory Action	Examiner	Art Unit		
	HERBERT J LILLING	1651		
The MAILING DATE of this communication appe	ars on the cover sheet with the o	correspondence address		
THE REPLY FILED 23 December 2002 FAILS TO PLACE Therefore, further action by the applicant is required to avoinal rejection under 37 CFR 1.113 may only be either: (1 condition for allowance; (2) a timely filed Notice of Appea Examination (RCE) in compliance with 37 CFR 1.114.	void abandonment of this applica) a timely filed amendment which	ation. A proper reply to a high places the application in		
PERIOD FOR RE	PLY [check either a) or b)]			
a) \boxtimes The period for reply expires $\underline{3}$ months from the mailing date				
b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire I ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The ee have been filed is the date for purposes of determining the period cee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of 2) as set forth in (b) above, if checked. Any reply received by the Officimely filed, may reduce any earned patent term adjustment. See 37 C	ater than SIX MONTHS from the mailing FILED WITHIN TWO MONTHS OF THE date on which the petition under 37 CF of extension and the corresponding amount the shortened statutory period for reply the later than three months after the mail	g date of the final rejection. HE FINAL REJECTION. See MPEP R 1.136(a) and the appropriate extension out of the fee. The appropriate extension originally set in the final Office action; or		
1. A Notice of Appeal was filed on 23 December 2002. 37 CFR 1.192(a), or any extension thereof (37 CFF				
2. The proposed amendment(s) will not be entered be	ecause:			
(a) They raise new issues that would require further	er consideration and/or search (see NOTE below);		
(b) they raise the issue of new matter (see Note b	pelow);			
(c) they are not deemed to place the application in issues for appeal; and/or	n better form for appeal by mate	erially reducing or simplifying the		
(d) They present additional claims without cancell	ng a corresponding number of f	inally rejected claims.		
NOTE: see attachment.				
Applicant's reply has overcome the following rejection	on(s):			
4. Newly proposed or amended claim(s) would canceling the non-allowable claim(s).	be allowable if submitted in a se	eparate, timely filed amendment		
5. The a) affidavit, b) exhibit, or c) request for application in condition for allowance because:		idered but does NOT place the		
6. The affidavit or exhibit will NOT be considered bec raised by the Examiner in the final rejection.	ause it is not directed SOLELY t	to issues which were newly		
For purposes of Appeal, the proposed amendment(s) a) will not be entered or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.				
The status of the claim(s) is (or will be) as follows:				
Claim(s) allowed:				
Claim(s) objected to:				
Claim(s) rejected: <u>7,8,10 and 11</u> .				
Claim(s) withdrawn from consideration: 9 and 12-2	<u>2</u> .			
8. The proposed drawing correction filed on is	a) approved or b) disapp	proved by the Examiner.		
9. Note the attached Information Disclosure Statemer	nt(s)(PTO-1449) Paper No(s)			
10. Other:				
		HERBERT J LILLING Primary Examiner Art Unit: 1651		

U.S. Patent and Trademark Office PTO-303 (Rev. 04-01) Application/Control Number: 09/886,254

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ATTACHMENT OF ADVISORY ACTION DATED JANUARY 28, 2003

The Final Rejection has been maintained as stated. It is noted based on the current record, the instant specification has been considered by this Examiner to be fatally defective for the elected claimed inventions [Claims 7, 8, 10 and 11].

The amendment to the claims will not be entered and considered in view of the fact that the amendment presents further consideration as well as does not materially reduce or simplify the issues. additional information does not reduce the issues since the alleged improvement is not an absolute value but a comparison value that does not demonstrate that the value is a patentable distinction over the parent strain or any other mutated strain for the production of Larginine in the presence of acetic acid or acetate as the only carbon The arguments have been deemed totally inadequate and source. factually incorrect with respect to the fact that the parent strain 237 noted in Table 2 does not produce arginine as well as that Table 3 is drawn to the presence of glucose and absolutely no acetic acid or acetate is present in the fermentation reaction. One of the basic

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issues which has not been addressed and supplied by Applicant is the requirement as noted by number (3) of page 5 of the remarks: "A of the deposited biological material sufficient to description specifically identify it and to permit examination;" which is commensurate in scope with the claimed inventions. The allegation that "Example 1 (page 8, line 4 to page 9, line 16), which fully describes characteristics of the deposited E. coli cell strains" has been considered to be totally inadequate for meeting the required material to specifically identify it and to permit examination. Applicant has failed to supply this information which sufficient factors includes A. > Morphological characteristics a. cells of the deposited strains as well as cells commensurate in scope with the claimed inventions incubated in various growth mediums and comparisons of the parent strains with the mutants with respect to (1) shape; (2) Mode of proliferation; C.> Physiological length/width; В. characteristics (1) fermentation and (2) Assimilation comparisons of the parent strains versus the mutant strains.

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Applicant has the opportunity to:

- a. Submit more persuasive arguments;
- b. Amendments;
- c. Appeal Brief, which is reviewed by in-house 1600 Technical Center that is persuasive to require this Examiner to withdraw the rejection. If not, Examiner's Answer will be submitted to the Board of Appeals that may be reversed by the Board of Appeals.
- d. Applicant would have the opportunity to submit either a RCE or a CIP to overcome the above rejections. It is very likely that only a CIP would be able to overcome the above rejections with respect to only deposited E. coli NTG strains 382 and 283 and mutants thereof.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Lilling whose telephone number is (703) 308-2034 and Fax Number is for applications Before Final (703) 872-9306 and After Final for applications is 703-872-9307 or SPE Michael Wityshyn whose telephone number is (703) 308-4743. Examiner can be reached Monday-Thursday from about 5:30 A.M. to about 3:00 P.M. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

(703) 308-2034 January 28, 2003

Dr. Herbert J. Lilling
Primary Examiner

Group 1600 Art Unit 1651